

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12221-020001	Application No. 10/701,404
Information Disclosure Statement by Applicant <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small>		Applicant Benjamin Wilken et al.		
		Filing Date November 3, 2003	Group Art Unit 2131	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,550,807		Kuroshita, Kazumasa			
	AB	6,321,338		Porras et al.			
	AC	6,986,161		Billhartz, Thomas Jay			
	AD	2001/0014868		Herz et al.			
	AE	2002/0069200		Cooper et al.			
	AF	2002/0032871		Malan et al.			
	AG	6,269,330		Cidon et al			
	AH	2004/0199576		Tan, Godfrey			
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	AJ	6,484,203		Porras et al.			
	AK	2004/0215976		Jain, Hemant Kumar			
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	AN	2003/0226034		Howard et al.			
	AO	2002/0032855		Neves et al.			
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	AQ	7,043,759		Kaashoek et al.			
	AR	2002/031134		Poletto et al.			
	AS	2002/095492		Kaashoek et al.			
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	AU	2003/0069952		Tams et al.			
	AV						
	AW						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AX						

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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							Yes
	AY						
	AZ						
	AAA						
	ABB						

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	ACC	Symantec Antivirus For Macintosh – SAM. Cupertino, CA, pp. 4-9, 4-10, 5-6, 5-7.
	ADD	Central Point Virus Detection, Removal and Prevention, 1991, pp. 46.
	AEE	Steve Bellovin. DDoS Attacks and Pushback. NANOG2 1, Feb 18,2001 http://www.aciri.org/pushback
	AFF	Ratul Manajan, Steven M. Bellovin, Sally Floyd, Vern Paxson, Scott Shenker, and John Ioannidis. Controlling High Bandwidth Aggregates in the Network. draft paper, Feb 2001. http://www.aciri.org/pushback
	AGG	Stefan Savage, David Wetherall, Anna Karlin and Tom Anderson. Practical Network Support for IP Traceback. Proceedings of 2000 ACM SIGCOMM. Stockholm, Sweden, August 2000. http://www.cs.washington.edu/homes/savage/traceback.html
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	AII	Cisco. Characterizing and Timing Packet Floods Using Cisco Routers. http://www.cisco.com/warp/public/707/22.html
	AJJ	D. Senie. RFC2644 (BCP34), Changing the Default for Directed Broadcasts in Routers. IETF, , August 1999. http://www.ietf.org/rfc/rfc2644.txt
	AKK	P. Ferguson, D. Senie. RFC2827 (BCP38): Network Ingress Filtering: Defeating Denial of Service Attacks which employ IP Source Address Spoofing. IETF, May 2000. http://www.ietf.org/rfc/rfc2827.txt
	ALL	David G. Andersen, Hari Balakrishnan, and M. Frans Kaashoek, Robert Morris. The Case for Resilient Overlay Networks.. Proc. of HotOS-VIII, Schloss Elmau, Germany, May 2001. http://Nnms.lcs.mit.edu/papers/ron-hotos2010.pdf
	AMM	Cisco. Web-Site Security and Denial-of-Service Protection. http://www.cisco.com/warp/public/cdpd/si/l1000/prodlt/cswwls.htm
	ANN	Analysis of a Denial of Service Attack on TCP" by Schuba et al Proceedings of the 1997 IEEE Symposium on Security and Privacy (IEEE Computer Society Press, May 1997
	AOO	Stefan Savage, David Wetherall, Anna Karlin and Tom Anderson. Practical Network Support for IP Traceback. Work in progress Technical Report UW-CSE-00-02-01. Date unknown. http://www.cs.washington.edu/homes/savagd~ceback.html
	APP	Web page entitled "Aggregate Based Congestion Control and Pushback" last modified April 2001 Found at http://www.a~iri.or~/~sh

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQQ	D. Song et al., "Advanced and Authenticated Marking Schemes for IP Traceback", Proc. IEEE MFOCOM, April 2001, pp. 878-886
	ARR	R. Stone, "CenterTrack: An IP Overlay Network for Tracking DoS Floods", Proceedings of 9 th USENIX Security Symposium, Denver, CO, August 2000, pp. 199-212.
	ASS	H. Burch et al., "Tracing Anonymous Packets to Their Approximate Source", Proc. USENIX LISA 00, December 2000, pp. 319-327.
	ATT	Ohta, Kohei Detection, Defense, and Tracking of Internet Wide Illegal Access in a Distributed Manner, INET Proceedings, 18-21 July 2000
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